

# Multiplier Ideals and Boundedness of Pluricanonical Maps

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- 4  $\mathcal{I}^1 \leftrightarrow$  Sections which lift to  $Y$
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# Motivation

# Goal of the talk

- Not to present proofs of various theorems that were used.
- Rather, will introduce the ingredients that go into these theorems.
- Will try to give an idea of why we expect to have such theorems.

Thus, I will be imprecise in most places. Please check the paper for the correct statements.

# What we want to do

## Aim

Want to lift log pluricanonical forms.

- $X \subset Y$  smooth hypersurface
- $(X, \Delta) \subset (Y, \Gamma)$  with  $(K_Y + \Gamma)|_X = K_X + \Delta$ .

Loosely speaking, want to lift sections of  $m(K_X + \Delta)$  to sections of  $m(K_Y + \Gamma)$  for  $m > 0$ .

## Remark

Have already done something similar before!

# Siu's deformation invariance of plurigenera

## Theorem (Siu)

$\pi : X \rightarrow T$  be a smooth projective family of general type varieties.  
Then:

$h^0(mK_{X_t})$  are constant for all  $t \in T$

# Proof of Siu's theorem

- 1 Can assume  $T$  is a smooth affine curve. Suffices to show that every section of  $mK_{X_0}$  can be lifted to  $X$ .
- 2 Have asymptotic multiplier ideal  $\mathcal{I}(\|mK_{X_0}\|)$ .  
Sections of  $mK_{X_0}$  vanish along  $\mathcal{I}(\|mK_{X_0}\|)$ .
- 3 Define new multiplier ideal  $\mathcal{I}(\|mK\|_0)$ .  
Sections of  $mK_{X_0}$  which vanish along  $\mathcal{I}(\|mK\|_0)$  lift to  $X$ .
- 4 Need appropriate containment relations between the two types of multiplier ideals!  
We need  $\mathcal{I}(\|mK_{X_0}\|) \subset \mathcal{I}(\|mK\|_0)$ . Unfortunately, this is not true.

- 5 Instead prove that we can find an effective divisor  $D$  s.t.:

$$\mathcal{I}(\|mK_{X_0}\|)(-D) \subset \mathcal{I}(\|mK\|_0) \text{ for all } m \geq 1$$

- 6  $\therefore$  The two multiplier ideals are asymptotically the same (i.e. as  $m \rightarrow \infty$ ).
- 7 Sufficient to lift sections!



# Strategy of our proof

We'll define two types of (asymptotic) multiplier ideals:

- $\mathcal{I}^0$  (corresponds to sections of  $m(K_X + \Delta)$ ).
- $\mathcal{I}^1$  (corresponds to sections which lift to  $Y$ ).

We then prove containment relations between the two multiplier ideals to get what we want.

# Motivation for the definition of multiplier ideals

## Remark

Siu's theorem is obvious if  $K_{X_0}$  is big and nef as we can use KV vanishing.

In case it's not nef, what do we do?

Try to extract a 'maximal' nef part from it!

## Idea

Given  $m > 0$ , can find a birational map  $\mu_m : Z_m \rightarrow X_0$  s.t.:

$$\mu_m^*(mK_{X_0}) = P_m + M_m$$

(free part + fixed part)

If there is one  $\mu$  which serves as the  $\mu_m$  for all  $m$ , then can take  $P = \sup_m \frac{P_m}{m}$  as the desired nef part.

Get vanishing results for  $P$ .

# Problem with the idea and how we fix it

Unfortunately, we don't have such a  $\mu$ .

## Fix

- Instead, consider new multiplier ideals associated to the positive part  $P_m$  of  $mK_{X_0}$ .
- Take the union (in  $X$ ) over all  $m$  to get a new asymptotic multiplier ideal on  $X_0$ .
- Get vanishing results for these new ideals.

# Informal definition of multiplier ideals

# Old multiplier ideals

## Definition

$X$  = smooth variety,  $D$  = divisor.

$\mu : W \rightarrow X$  log resolution of  $D$ .

Then the multiplier ideal of  $D$  is defined as:

$$\mathcal{I}_D = \mu_*(\mathcal{O}_W(K_{W/X} - \lfloor \mu^* D \rfloor))$$

More generally, if we have a pair  $(X, \Delta)$ , we can define the multiplier ideal:

$$\mathcal{I}_{\Delta, D} := \mu_*(\mathcal{O}_W(K_{W/X} - \lfloor \mu^* \Delta \rfloor - \lfloor \mu^* D \rfloor))$$

# New multiplier ideals

## Definition

$X$  = smooth variety,  $D$  = divisor.

$\mu : W \rightarrow X$  birational map such that  $\mu^*D = P + M$ ; where  $P$  is ‘free’,  $M$  is ‘fixed’ (with everything being snc).

Then, define the multiplier ideal:

$$\mathcal{I}_M := \mu_*(\mathcal{O}_W(K_{W/X} - \lfloor M \rfloor))$$

This is the same as the ‘**adjoint ideal**’ of  $D$  (cf. Section 9.3.E of Lazarsfeld’s Positivity II).

# New multiplier ideals for a pair

## Definition

$(X, \Delta)$  = log smooth pair.

$\Delta$  = Reduced effective snc divisor.

$\mu : W \rightarrow X$  birational map such that  $\mu^*D = P + M$ ; where  $P$  is ‘free’,  $M$  is ‘fixed’ (with everything being snc).

Set  $\Theta :=$  Union of all divisors with discrepancy  $= -1$ .

Then, define the multiplier ideal:

$$\mathcal{I}_{\Delta, M} := \mu_*(\mathcal{O}_W(K_{W/X} + \Theta - \mu^*\Delta - \lfloor M \rfloor))$$

# Reason for defining $\Theta$

Set  $E := K_{W/X} + \Theta - \mu^* \Delta$ .

$\therefore E$  = those divisors with discrepancy  $\geq 0$ .

In particular,  $E$  is effective exceptional and so:

$$\mathcal{I}_{\Delta, M} := \mu_*(\mathcal{O}_W(E - \lfloor M \rfloor))$$

is actually an ideal sheaf.



## Actual definition of multiplier ideals

# Setup

We'll do everything in the relative setting. So the notation will get slightly messy.

- ①  $(Y, \Gamma)$  is a log smooth pair,  $\Gamma =$  Reduced effective snc divisor.
- ②  $X \subset Y$  is a smooth hypersurface.
- ③  $(X, \Delta)$  is a log smooth pair,  $\Delta =$  Reduced effective snc divisor s.t.:

$$(K_Y + \Gamma)|_X = K_X + \Delta$$

- ④  $\pi : Y \rightarrow S$  is a projective morphism.

# Technical definitions

## Definition

$D = \text{Divisor on } X$ .

Say that  $D$  is  $\pi$ -transverse for  $(X, \Delta)$  if  $\mathcal{O}_X(D)$  is  $\pi$ -generated at the generic point of every lc center of  $K_X + \Delta$ .

Define  $\pi$ - $\mathbb{Q}$ -transverse in the natural way.

If  $\pi$  is the map to a point, then  $D$  being transverse just means that  $D$  (generically) avoids all the  $k$ -fold intersections of the components of  $\Delta$ .

## Definition

Say that a proper birational map  $\mu : W \rightarrow X$  is **canonical** if every exceptional divisor extracted by  $\mu$  has log discrepancy at least 1.

In this case,  $\Theta$  is actually the strict transform of  $\Delta$ .

# Formal definition of (asymptotic) multiplier ideals

## Definition

$D = \pi$ - $\mathbb{Q}$ -transverse divisor for  $(X, \Delta)$ .

For each  $m > 0$ , we 'resolve' the linear system  $|mD|$  i.e.

Pick a canonical map  $\mu_m : W_m \rightarrow X$  s.t.  $\mu_m^*(mD) = P_m + M_m$  and:

- 1  $P_m$  is  $\pi \circ \mu_m$ -free
- 2 Sections of  $mD$  are same as that of the sections of  $P_m$  i.e.:

$$(\pi \circ \mu_m)_* \mathcal{O}_{W_m}(P_m) \rightarrow \pi_*(\mathcal{O}_X(mD))$$

is an isomorphism.

- 3  $M_m$  is effective. Everything in sight is snc.

Define  $\mathcal{J}_{\Delta, D}^0 := \bigcup_m \mathcal{J}_{\Delta, \frac{1}{m}M_m}$

## Second type of multiplier ideals

### Definition

$D = \pi$ - $\mathbb{Q}$ -transverse divisor for  $(Y, \Gamma)$ .

We can make a very similar definition by asking for a birational map  $\mu_m : W_m \rightarrow Y$  along with a decomposition:

$$\mu_m^*(mD) = Q_m + N_m$$

with  $Q_m$  being the ‘free’ part.

Define:

$$\mathcal{I}_{\Delta, D}^1 := \bigcup_m \mathcal{I}_{\Delta, \frac{1}{m} N_m|_{X_m}}$$

where  $X_m = \text{Strict transform of } X$ .

This is again an ideal sheaf on  $X$ .

# Well definedness

## Theorem

The sheaves  $\mathcal{I}_{\Delta,D}^i$  are well defined, i.e. they do not depend on the choice of  $\mu_m$ .

# Properties of new asymptotic multiplier ideals

$D = \pi$ - $\mathbb{Q}$ -transverse divisor for  $(Y, \Gamma)$ .

①  $\mathcal{I}_{\Delta, D}^1 \subset \mathcal{I}_{\Delta, D|_X}^0$ .

② There is an  $m > 0$  which calculates the multiplier ideals i.e.:

$$\mathcal{I}_{\Delta, D}^0 = \mathcal{I}_{\Delta, \frac{1}{m} M_m}$$

$$\mathcal{I}_{\Delta, D}^1 = \mathcal{I}_{\Delta, \frac{1}{m} N_m|_X}$$

- ③  $B =$  effective divisor, then:

$$\mathcal{I}_{\Delta,D}^i(-B) \subset \mathcal{I}_{\Delta,D+B}^i$$

- ④ For  $\alpha \geq 1$ , we have  $\mathcal{I}_{\Delta,\alpha D}^i \subset \mathcal{I}_{\Delta,D}^i$ .  
(Bigger divisors  $\implies$  deeper ideals)
- ⑤ If  $L$  is a  $\pi$ -free divisor, then we have:

$$\mathcal{I}_{\Delta,D}^i \subset \mathcal{I}_{\Delta,D+L}^i$$



$\mathcal{J}^1 \leftrightarrow$  Sections which lift to  $Y$

# Theorem

## Theorem

$$\mathrm{Im}(\pi_* \mathcal{O}_Y(D) \rightarrow \pi_* \mathcal{O}_X(D)) \subset \pi_* \mathcal{I}_{\Delta, D}^1(D).$$

(Sections of  $\mathcal{O}_X(D)$  which lift to the whole of  $Y$  vanish on  $\mathcal{I}_{\Delta, D}^1$ .)

## Proof

Choose  $m > 0$  which calculates  $\mathcal{I}_{\Delta, D}^1$ . Say  $\mu_m^* D = Q_m + N_m$ .  
We have on  $W_m$ :

$$Q_m \leq \mu_m^* D - \lfloor \frac{N_m}{m} \rfloor \leq \mu_m^* D$$

Push this forward via  $\pi \circ \mu_m$ :

$$\pi_* \mathcal{O}_Y(D) \subset (\pi \circ \mu_m)_* \mathcal{O}_{W_m}(\mu_m^* D - \lfloor \frac{N_m}{m} \rfloor) \subset \pi_* \mathcal{O}_Y(D)$$

## Proof (contd.)

Thus,  $\pi_* \mathcal{O}_Y(D) = (\pi \circ \mu_m)_* \mathcal{O}_{W_m}(\mu_m^* D - \lfloor \frac{N_m}{m} \rfloor)$ .

Now observe that the image of RHS in  $\pi_* \mathcal{O}_X(D)$  is contained in  $\pi_* \mathcal{I}_{\Delta, D}^1(D)$ .

Thus, we're done!

# Converse

## Theorem

*Under suitable hypothesis, we have the inclusion:*

$$\pi_* \mathcal{I}_{\Delta, D}^1(D + K_X + \Delta) \subset \text{Im}(\pi_* \mathcal{O}_Y(D + K_Y + \Gamma) \rightarrow \pi_* \mathcal{O}_X(D + K_X + \Delta))$$

*(Sections of  $D + K_X + \Delta$  which vanish on  $\mathcal{I}_{\Delta, D}^1$  lift to the whole of  $Y$ .)*

We require vanishing results in the proof of this statement.

# Lifting log pluricanonical forms

# Clarification

- I'll first state an incorrect version of the main technical theorem. This is just to make the statement more digestible.
- Later, I'll indicate the tiny correction we have to make.

# Comparing $\mathcal{I}^0$ with $\mathcal{I}^1$ (Incorrect version)

## Theorem (Incorrect version)

$H =$  sufficiently  $\pi$ -very ample divisor,  $A = (\dim(X) + 1)H$ .

Assume that  $K_X + \Delta$  is  $\pi$ - $\mathbb{Q}$ -pseudoeffective. Then we have:

$$\mathcal{I}_{m(K_X + \Delta) + H|_X}^0 \subset \mathcal{I}_{m(K_Y + \Gamma) + H + A}^1$$

for all  $m > 0$ .

Thus, the theorem is saying that  $\mathcal{I}^0$  is contained in  $\mathcal{I}^1$  if you add this fixed positive divisor  $A$ .

In particular, this fixed  $A$  works for all  $m > 0$ .

## Remark

Thus, asymptotically in  $m$  (i.e. as  $m \rightarrow \infty$ ),  $\mathcal{I}^0$  and  $\mathcal{I}^1$  are the same

# Why is this incorrect?

- Look at the second term:  $\mathcal{I}_{m(K_Y + \Gamma) + H + A}^1$ .
- For this to be defined, we need  $m(K_Y + \Gamma) + H + A$  to be  $\pi$ - $\mathbb{Q}$ -transverse to  $(Y, \Gamma)$  **for all**  $m > 0$ .
- But this might not be the case because  $K_Y + \Gamma$  is itself not transverse to  $(Y, \Gamma)$ .
- To fix this, we perturb by a general divisor  $C$  so that  $K_Y + \Gamma + C$  is transverse to  $(Y, \Gamma)$ .



# Comparing $\mathcal{I}^0$ with $\mathcal{I}^1$ (Correct version)

The setup is the same as before.

**Theorem (Theorem 3.16 in HM)**

$C \in \text{Div}(Y)$  not containing  $X$  s.t.:

$K_Y + \Gamma + C$  is  $\pi$ - $\mathbb{Q}$ -transverse for  $(Y, \Gamma)$

Then we have:

$$\mathcal{I}_{m(K_X + \Delta) + H|_X}^0(-mC) \subset \mathcal{I}_{m(K_Y + \Gamma + C) + H + A}^1$$

# Lifting log pluricanonical forms

The setup is the same as before.

## Theorem (Theorem 3.17 in HM)

*For any  $m > 0$ , the image of the natural map:*

$$\pi_* \mathcal{O}_Y(m(K_Y + \Gamma + C) + H + A) \rightarrow \pi_* \mathcal{O}_X(m(K_X + \Delta + C) + H + A)$$

*contains the image of  $\pi_* \mathcal{O}_X(m(K_X + \Delta) + H)$ .*

*(We can lift sections of  $m(K_X + \Delta) + H$  to the whole of  $Y$ .)*

Thus, even though we might not be able to lift sections of  $m(K_X + \Delta)$ , we can do so after a small perturbation by  $H$ .